

# Arriva Plc Modern Slavery and Human Trafficking Statement

## Introduction

The Modern Slavery Act 2015 (“the Act”) came into effect on 29th October 2015. This statement is made pursuant to section 54(1) of the Act and constitutes Arriva’s slavery and human trafficking statement for the financial year ending 31 December 2021. This is Arriva’s fifth annual statement.

As an ethical company, we respect every individual’s human rights. Arriva has zero tolerance to slavery and human trafficking in all its business dealings, is committed to the prevention of slavery and human trafficking in all its forms and will not tolerate or condone it within any part of its business or supply chains. Arriva expects the same high standards from our business partners.

## Organisation and Structure

Arriva is a leading provider of passenger transport in Europe, employing around 43,000 people and, in 2021, delivered around 1.3 billion passenger journeys across 14 European countries. We are part of Deutsche Bahn (DB), one of the world’s leading passenger and logistics companies.

With buses, trains, coaches, trams, water buses, car- and bike-sharing systems, and on-demand transport solutions, DB Arriva offers a wide range of transport services. The activities of DB Arriva are divided into four lines of business: UK Bus, UK Trains, The Netherlands and Mainland Europe.

The Modern Slavery Act and its subsequent reporting requirements are UK legislation, and require any business registered in the UK, with a turnover of >£36m, to produce an annual statement detailing the steps it has taken to reduce the risk of modern slavery and human trafficking in its supply chains. The Arriva statement applies to all UK subsidiaries of Arriva plc and their global supply chains including the entities below which meet the £36m threshold:

Arriva London North LTD; Arriva London South LTD; Arriva Yorkshire Ltd; Arriva Merseyside Limited; Arriva Kent Thameside Limited; The Chiltern Railway Company; Limited; XC Trains Limited; Arriva Rail London Limited; London and Northwestern Railway Company Limited

## Supply Chains

Arriva’s supply chains comprise goods and services for the provision of passenger transport operations. Procurement activities take place in the UK and suppliers to our UK Businesses are predominantly UK-based. Arriva Plc spend for the year ended 31 December 2021 amounted to £919 million in its UK Bus and UK Trains operating divisions and Group Head Office functions, across more than 3,500 suppliers.

Our major areas of supplier spend are bus and train maintenance and spare parts, fuel, facilities management, indirect goods and services, information and communications technology (ICT), professional

services, and utilities. A risk assessment has been conducted based on the spend in 2021, and no significant modern slavery risks have been identified.

Arriva's Group Human Rights, Modern Slavery and Human Trafficking Policy reflects its continuing commitment to respect the human rights of those who work on our behalf. Arriva's Whistleblowing Policy ensures that both employees, suppliers and the public can raise concerns about malpractice or wrongdoing, including in respect of slavery and human trafficking.

Arriva's Code of Conduct for Business Partners forms part of Arriva's commitment to ethical, social, economic, and environmental performance. We have reviewed our Code of Conduct for Business Partners and consider that it remains fit for purpose in the context of Arriva's exposure to modern slavery risks.

Our Standards of Business Conduct, which has been distributed to all Arriva employees in various formats and translated into local languages promote our values including our position in respect of human rights including forced labour and modern slavery.

## Due Diligence and Risk Management

Due diligence in assessing modern slavery or human rights risk in operations or supply chains is not a legal requirement of the Act, but it is good business practice and as an ethical company, Arriva is committed to improving its procedures in a manner that is proportionate to the identified risk.

In 2020 a new Group Procurement Policy and supplier due diligence programme was launched, with a new risk-based approach to assessing potential suppliers, this was fully deployed in 2021 and supported with colleague training.

In August 2021 Arriva renewed its partnership with Slave Free Alliance, part of the charity Hope for Justice. The relationship provides Arriva access to a range of services and supports our maturity in the area of Modern Slavery, these include:

- Consultancy and advice
- Educational resources
- Skilled investigators
- Crisis response

Slave Free Alliance worked with our business in 2021 on an updated health check which measured our maturity and approach to modern slavery risk management. The outcome of the health check forms the basis of Arriva's approach to improving maturity in the area of modern slavery.

Key areas of focus for 2021 were:

- Completing a review of, and updating Arriva's Human Rights, Modern Slavery and Human Trafficking policy.
- Continuing to enhance the Vendor Due Diligence programme incorporating a risk categorisation for Modern Slavery.

In 2022, with the support of Slave Free Alliance, Arriva will focus on:

- Embedding the newly updated Human Rights, Modern Slavery and Human Trafficking policy in each of our businesses.

- Providing training for procurement colleagues on modern slavery, risk management and improving our escalation process.
- Using a third-party database for ongoing screening of suppliers. This means we are alerted in real-time to any new risks related to 'special interest categories' which includes human rights, modern slavery and human trafficking.

### Effectiveness

Our standard contracts impose obligations on our vendors to comply with all applicable anti-slavery and human trafficking laws, including the Modern Slavery Act 2015; and to have and maintain policies and procedures to ensure continuing compliance; and to not engage in any activity, practice or conduct that would constitute an offence under the 2015 Act if such activity, practice or conduct were carried out in the UK. These obligations have not been amended since our first statement.

### Training

- In 2021, and continuing into 2022, procurement colleagues received training on the new supplier due diligence process, and the risk-based approach to assessing new suppliers.
- Modern slavery also continues to be covered in Arriva's Standards of Business Conduct training. In 2021 an annual refresher module was launched and completed by over 6,000 colleagues.

### Board Sign-Off

Arriva remains dedicated to strengthening its practices to continuously reduce its exposure to modern slavery and human trafficking risks. This statement has been endorsed and signed off by the Arriva Management Board and the Arriva PLC Board.

A handwritten signature in black ink, appearing to read 'M D C', is positioned above the name and title of the signatory.

Mike Cooper  
**Chief Executive**

April 2022

